

# Huaizhi Chen

University of Notre Dame  
238 Mendoza College of Business  
Notre Dame, IN 46556

website: [www.huaizhichen.com](http://www.huaizhichen.com)  
email: [hchen11@nd.edu](mailto:hchen11@nd.edu)  
phone: 574-631-3385

## Academic Employment

<b>University of Notre Dame, Mendoza School of Business</b>	
Assistant Professor of Finance	2018 - Present
Faculty Fellow at the Notre Dame Institute for Global Investing	2019 - Present
<b>Harvard University, Harvard Business School</b>	
Post-Doctoral Fellow	2016 - 2018

## Education

<b>London School of Economics and Political Science</b>	
PhD in Finance	2016
Msc. in Finance and Economics	2010
<b>Case Western Reserve University</b>	
MS, Applied Mathematics	2009
BS/BA, Mathematics, Economics	2006

## Publications

### ***Don't Take Their Word For It: The Misclassification of Bond Mutual Funds***

with Lauren Cohen and Umit Gurun

Forthcoming at the *Journal of Finance*

**Presentations:** University of Notre Dame Brown Bag (2019), RAPS/RCFS Winter Conference (2020), Consortium on Asset Management in Cambridge (2020), London School of Economics Lunch Time Workshop (2020), FSU SunTrust Beach Conference (2020)\*, 8th Fixed Income and Financial Institutions Conference (2020), 2nd Future of Financial Information Conference at Stockholm Business School (2020), European Finance Association Annual Meeting (2020), Northern Finance Association Annual Meeting (2020), Financial Management Association Annual Meeting (2020), NBER Asset Pricing (Fall 2020), Southern Finance Association Meeting (2020), American Financial Association Annual Meeting (2021). (\* Cancelled due to Covid-19)

**Awards:** 2020 Consortium on Asset Management Best Paper Award, Best Award in Investment Area (FMA 2020)

### ***IQ from IP: Simplifying Search in Portfolio Choice***

with Lauren Cohen, Umit Gurun, Dong Lou, and Christopher Malloy

*Journal of Financial Economics*, May 2020

**Presentations:** 6th Luxembourg Asset Management Summit (2017), American Finance Association Annual Meeting (2018)

**Awards:** IQ-KAP 2<sup>nd</sup> Best Paper Prize, Panagora A. Crowell Prize (1<sup>st</sup> Place)

### ***Industry Window Dressing***

with Lauren Cohen and Dong Lou

*Review of Financial Studies*, April 2016

## Working Papers (Does *Not* Include Coauthor Presentations)

### ***Calling All Issuers: The Market for Debt Monitoring***

with Lauren Cohen and Weiling Liu

Presentations: University of Notre Dame Brown Bag (2020), Virtual Municipal Finance Workshop (2020)

### ***Cash Induced Demand***

Presentations: Ohio State University (2017), American University (2018), University of Delaware (2018), Northeastern University (2018), University of Notre Dame (2018), AQR Capital (2018), FMA Asia (2018), Behavioural Finance Working Group Conference (2018), Southern Finance Association Meeting (2018), AFA Annual Meeting (2019), Midwestern Finance Meeting (2019)

### ***The Slow Death of Active Management***

with Robin Greenwood and Adi Sunderam

*in progress*

### ***Risk Management and Price Pressure***

Presentations: Case Western Reserve University (2016), University of Notre Dame (2016), University of Hong Kong (2016), Hong Kong University of Science and Technology (2016), Nanyang Technology University (2016), Hong University of Science and Technology (2016), and Tulane University (2016), Wabash River Conference (2018).

## **Conference Presentations** (Does *Not* Include Coauthor Presentations)

American Finance Association (Chicago)	2021
RAPS/RCFS Winter Conference, Cambridge Consortium on Asset Management, FSU SunTrust Beach Conference*, University of South Carolina's 8th Fixed Income and Financial Institutions Conference, Second Future of Financial Information Conference at Stockholm Business School, European Finance Association Annual Meeting, Northern Finance Association Annual Meeting, Financial Management Association Annual Meeting, Virtual Municipal Finance Workshop, NBER Asset Pricing, Southern Finance Association Meeting	2020
American Finance Association (Atlanta), Midwestern Finance Association Meeting	2019
American Finance Association (Philadelphia), Financial Management Association Asia (Hong Kong), Behavioural Finance Working Group Conference (London), Southern Finance Association Meeting, Wabash River Conference	2018
6th Luxembourg Asset Management Summit	2017
SFS Cavalcade	2014

(\* Cancelled due to Covid-19)

## **Discussions**

Midwestern Finance Association Meeting, Financial Management Association Meeting	2020
Midwestern Finance Association Meeting, Western Finance Association Meeting	2019
Financial Management Association Asia (Hong Kong), CICF (Tianjin), Behavioural Finance Working Group	2018

American Finance Association (Chicago)	2017
American Finance Association (San Francisco)	2016
Eighth Annual Paul Woolley Conference	2015

**Referee Work**

Financial Management, Journal of Banking and Finance, Journal of Empirical Finance, Management Science, Review of Finance, Review of Financial Studies